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LAO PEOPLE'S DEMOCRATIC REPUBLIC

PEACE INDEPENDENCE DEMOCRACY UNITY PROSPERITY

ຕະຫຼາດຫຼັກຊັບລາວ
LAO SECURITIES EXCHANGE

No. 005/25/LSX

Vientiane Capital, dated 02 OCT 2025

Guideline
On Securities Code Allocation
(Amended)

- Pursuant to Securities Law, No.21/NA, dated 10 December 2012;
- Pursuant to Decision on Lao Securities Exchange Appointment as National Numbering Agency, No.31/LSC, dated 31 August 2015;
- Guideline on Securities Code Allocation, No 053/LSC, dated 06 February 2019.

The CEO of Lao Securities Exchange issues guideline that:

Chapter I
General Provision

Article 1. Purpose

The purpose of this recommendation is to prescribe the matters and principles for allocating securities code for securities listed or deposited in Lao Securities Exchange (hereinafter referred to as "LSX") in order to facilitate the trading, clearing and settlement process.

Article 2. Scope of application

This guideline shall apply within LSX for reference on securities code allocation for listed or deposited securities in LSX.

Article 3. Definitions

Terms in this guideline means:

1. **International securities code** shall refer to International Securities Identification Number (ISIN) which is structured by international organization for standardization ISO 6166. The structure of the ISIN code consists of 12-character alpha-numerical code, the first two letters is country code, the next nine character alpha-numerical code is national securities identification number and the last single number is a check digit code.
2. **Country code** shall refer to short code of country which is 2 digits as defined in ISO 3166;
3. **National Securities Identification Number (NSIN)** shall refer to 9-character alpha-numerical code which is allocated by LSX in accordance with current conditions of Lao PDR and international standard;
4. **Attribute shall** refer to each type of securities code that are prescribed in this guideline;
5. **Issuer Code** shall refer to code of issuer that requests for securities code from LSX, the code is arranged in the serial order by request;
6. **Classification Code** Shall refer to code that classifies the details such as stock type for stock, interest type, interest rate type, issuance month and year for bonds;
7. **Check Digit Code** shall refer to code that is used for checking the accuracy and validity of securities code allocation which calculated according to ISO 6166;
8. **Short Code** shall refer to code that sorting out from ISIN code by LSX for each type of securities for LSX's internally use in database;
9. **Foreign Securities** shall refer stocks or corporate bonds issued by a company established in a foreign country and which securities issued in a foreign country or in Lao PDR and deposited with or listed on LSX.

Chapter II

General Principles, Structure and Securities Code

Article 4. General principles of securities code allocation

1. LSX is issuer of ISIN code for listed securities on LSX and deposited securities at LSX's depository;

2. Each ISIN code is not duplicated with other ISIN code;
3. ISIN code does not contain financial information of the securities issuers but contain of basic information used in trading, clearing and settlement process.

Article 5. ISIN Code Structure

An ISIN Code consists of 3 main parts and 12 digits for securities code allocation.

Country Code	National Securities Identification Number (NSIN)	Check Digit Code
2-digit	9-digit	1-digit

1. **Country code:** consists of 2 alphabets, i.e., LA for Lao PDR as defined by ISO 3166 (attachment 2).
2. **National Securities Identification Number** consists of 9-digit including numbers and characters which is allocated by LSX as National Numbering Agency of Lao PDR:

National Securities Identification Number (9-digit)								
Attribute code	Issuer code					Classification code		
■	■	■	■	■	■	■	■	■

- ❖ National securities identification number of stocks, corporate bonds and government bonds/municipal bonds are determined by LSX based on the characteristic of each securities.

3. **Check Digit** consists of 1-digit which is calculated according to international standards ISO 6166 (attachment 1)

Article 6. (Amend) Attribute Code

LSX has defines attribute code according to the following details:

Description	Attribute Code
Government bonds	1
Municipal bonds	2
Stocks	3
Domestic corporate bonds of stock listed companies	6
Domestic corporate bonds of non-stock listed companies	7

Foreign corporate bonds	B
Foreign stocks	S

- In case there are more securities type occur they shall be determined accordingly.

CHAPTER III

National Securities Identification Number Allocation

Article 7. Securities code allocation

1. Structure of stock code

Country code		National Securities Identification Number (9-digit)									Check digit code
		Attribute	Issuer code					Classification code			
L	A	3	■	■	■	■	■	■	■	■	■

1.1 Issuer Code of stock

Issuer code of stock is 5-digit number which is allocated in a serial order as requested by issuer. Example: first stock issuer's code is 00001

1.2 Classification code

Classification code		
■	■	■
Common / Preferred stock ▪ Common stock: 0 ▪ 1 Preferred stock: 1 ▪ 2 Preferred stock: 2 ▪ 3 Preferred stock: 3	Reserved for future use ▪ Default: 0	Reserved for future use ▪ Default: 0

- 1, 2 and 3 shall refer to preferred stock issued 1, 2 and 3 times.

2. Structure of stock short code

Short code for stocks consists of 7-digit as follows:

National Securities Identification Number (9-digit)								
Attribute code	Issuer code					Classification code		
3	■	■	■	■	■	■	■	■
A	Unchanged					■		

2.1. Attribute consists of 1-digit that is represented as alphabet A;

2.2. Issuer code consists of 5-digit, remains unchanged;

2.3. Classification code consists of 1-digit which allocated as follows:

Description	Classification code	Short code
Common stock	000	0
Preferred stock 1	100	5
Preferred stock 2	200	7
Preferred stock 3	300	9

Article 8. (Amend) Corporate bond code allocation

1. Structure of corporate bond code

Country code		National Securities Identification Number (9-digit)									Check digit code
		Attribute code	Issuer code			Currency code	Corporate bond type code	Classification code			
								Serial code in month	Month of issuance	Year of issuance	
L	A	■	■	■	■	■	■	■	■	■	■

1.1 Issuer code of corporate bond

Issuer code of corporate bond is is 3 digits, which is allocated in a serial order. Securities issuer who issues both of stock and corporate bond shall have the same code.

Example: the issuer who first has issued stocks and requests for issuing corporate bonds, thus the corporate bond code is 001.

1.2 Currency code

Currency	Currency code
LAK	0
THB	1
USD	2
CNY	3
Other	4

1.3 Corporate bond type code

Corporate bond type	Corporate bond type code
General corporate bond	0
Green corporate bond	1
Social corporate bond	2
Sustainable corporate bond	3
Other	4

1.4 Classification code

Classification code		
■	■	■
Serial code in the month (In case the same type, rate, payment date, month, year of issuance and maturity only one ISIN code)	Month of issuance	Year of issuance

■ Month of issuance

Month	Code	Month	Code	Month	Code	Month	Code
Jan	1	Apr	4	Jul	7	Oct	A
Feb	2	May	5	Aug	8	Nov	B
Mar	3	Jun	6	Sep	9	Dec	C

■ Year of issuance

Year	Code	Year	Code	Year	Code	Year	Code
2010	0	2020	A	2030	L	2040	0
2011	1	2021	B	2031	M	2041	1
2012	2	2022	C	2032	N	2042	2

2013	3	2023	D	2033	P	2043	3
2014	4	2024	E	2034	Q	2044	4
2015	5	2025	F	2035	R	2045	5
2016	6	2026	G	2036	S	2046	6
2017	7	2027	H	2037	T	2047	7
2018	8	2028	J	2038	V	2048	8
2019	9	2029	K	2039	W	2049	9

- Restarting rotationally every 30 year.
- Alphabets "I, O, U, and Z" are not used to avoid confusing.

2. Structure of corporate bond short code

Corporate bond short code consists of 9-digit allocated the same as National Securities Identification Number as follows:

National Securities Identification Number (9-digit)								
Attribute code	Issuer code			Currency code	Corporate bond type code	Classification code		
						Serial code in month	Month of issuance	Year of issuance
■	■	■	■	■	■	■	■	■

Article 9. (Amend) Government bond code allocation

1. Structure of government bond code

Country code		National Securities Identification Number (9-digit)									Check digit code
		Attribute code	Issuer code			Currency code	Bond type code	Classification code			
L	A	1	■	■	■	■	■	■	■	■	■

1.1 Government bond issuer code

Issuer Name	Issuer Code
(1) Ministry of Finance	001
(2) Bank of Lao PDR	002

1.2 Government bond currency code

Currency	Currency code
LAK	0
THB	1
USD	2
CNY	3
Other	4

1.3 Government bond type code

Bond type	Bond type code
(1) T-Bill	1
(2) Balancing budget bond	2
(3) Fund mobilization for development bond	3
(4) Recapitalization bond	4
(5) Bonds for other purposes as approved by government	5

1.4 Classification code

Classification code		
■	■	■
Serial code in the month (In case the same type, rate, payment date, month, year of issuance and maturity only one ISIN code)	Month of issuance	Year of issuance

■ Month and year of issuance is applied the same as corporate bond.

2. Structure of government bond short code

Structure of government bond short code consists of 9-digit which are allocated the same as NSIN as follows:

National Securities Identification Number (9-digit)								
Attribute code	Issuer code			Currency code	Bond type code	Classification code		
1	■	■	■	■	■	■	■	■

Article 10. (Amend) Municipal bond code allocation

1. Structure of Municipal bond code

Country code		National Securities Identification Number (9-digit)									Check digit code
		Attribute code	Issuer code			Currency code	Bond type code	Classification code			
L	A	2	■	■	■	■	■	■	■	■	■

1.1 Municipal bond issuer code:

Municipal bond issuer code consists of 3-digit which is represented by area code of each province, for instance, Vientiane capital is 021, details as follows:

No	Provinces	Code
1	Vientiane capital	021
2	Phongsaly	088
3	Luangnumtha	086
4	Oudomxai	081
5	Borkeo	084
6	Luangprabang	071
7	Huaphun	064
8	Xaiyabury	074
9	\Xiengkuang	061
10	Vientiane	023
11	Borlikhamxai	054
12	Khammuan	051
13	Savannaket	041
14	Salavan	034
15	Sekong	038
16	Champasack	031
17	Attapue	036
18	Xaisomboun	026

1.2 Municipal bond currency code

Currency	Currency code
LAK	0
THB	1
USD	2
CNY	3
Other	4

1.3 Municipal bond type code

Bond type	Bond type code
(1) T-Bill	1
(2) Balancing budget bond	2
(3) Fund mobilization for development bond	3
(4) Recapitalization bond	4
(5) Bonds for other purposes as approved by government	5

❖ Municipal bond type code initially defined the same as government bond.

1.4 Classification code

Classification code		
■	■	■
Serial code in the month (In case the same type, rate, payment date, month, year of issuance and maturity only one ISIN code)	Month of issuance	Year of issuance

❖ Month and year of issuance is applied the same as corporate bond.

2. Structure of Municipal bond short code

Structure of municipal bond short code consists of 9-digit which are allocated the same as National Securities Identification Number as follows:

National Securities Identification Number (9-digit)								
Attribute code	Issuer code			Currency code	Bond type code	Classification code		
2	■	■	■	■	■	■	■	■

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CHAPTER IV

Foreign Securities Code Allocation

Article11. Foreign securities code allocation

Foreign securities code are allocated as follows:

1. Structure of foreign stock code:

Country code		National Securities Identification Number (9-digit)									Check digit code
		Attribute code	Issuer country code			issuer code		Classification code			
L	A	S	■	■	■	■	■	■	■	■	■

1.1 Issuer country code: consists of 3-digit as defined by international standard ISO 3166, for instance; stocks issued in Thailand is 764;

1.2 Issuer name code: consists of 2-digit which is in serial order by request of foreign issuer;

1.3 Classification code: allocated the same as domestic stock classification code structure;

1.4 Short code of foreign stocks allocated the same as domestic stock short code.

2. Structure of foreign corporate bond code

Country code		**National Securities Identification Number (9-digit)**									Check digit code
		Attribute code	Issuer county code			Issuer code		Classification code			
L	A	B	■	■	■	■	■	■	■	■	■

2.1 Issuer country code: consists of 3-digit as defined by international standard ISO 3166, for instance; corporate issued in Thailand is 764;

2.2 Issuer code: consists of 2-digit which is in serial order by request of foreign issuer;

2.3 Classification code: allocated the same as domestic corporate bond classification code structure;

2.4 Short code of foreign corporate bond allocated the same as domestic corporate bond short code structure.

Chapter V

Final provision

Article 12. Implementation

LSX departments and related parties shall acknowledge and implement this guideline in the scope of their own rights and duties strictly.

Article 13. Effective

This guideline is replaced the guideline on securities code allocation, No 053/LSX, dated 06 February 2019.

This guideline shall be effective from the date of signing.

Chief Executive Officer

Siosavath THIRAKUL